FORN___TO-1390 ATTORNEY DOCKET NUMBER U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LSP-0015 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (if known see 37 C.F.R. 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) 10/048095 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP00/07152 29 July 1999 26 July 2000 TITLE OF INVENTION METHOD FOR POWER OPTIMIZATION IN A VEHICLE/TRAIN HAVING AN EFFICIENCY THAT **DEPENDS ON THE OPERATING POINT** APPLICANT(S) FOR DO/EO/US Franke RUDIGER, Peter TERWIESCH, Markus MEYER, Christian KLOSE and Karl-Hermann KETTELER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 3 This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <u>X</u> 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <u>X</u> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <u>X</u> is transmitted herewith (required only if not transmitted by the International Bureau). b. $\underline{\mathbf{X}}$ has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) A translation of the International Application into English (35 U.S.C. 371(c)(2)).

- 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. _ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. __ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. $\underline{\mathbf{X}}$ have not been made and will not be made.
- 8. _ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. _ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4).
- 10. _ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

- 11. _ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. _ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. _ A FIRST preliminary amendment.
 - A SECOND or SUBSEQUENT preliminary amendment.
- 14. _ A substitute specification.
- 15. _ A change of power of attorney and/or address letter.
- 16. X Other items or information:
 - A copy of the Published PCT Application by WIPO under No. WO 01/08959, including the search report.
 - A copy of the International Preliminary Examination report, including amended claims 1 and 2 under Article 34.
 - An English translation of the Response to the Written Opinion.

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ELB99365916US

U.S. PPLICATION NO. (Flat.) 144 S. INTERNATIONAL APPLICATION NO. PCT/EP00/07152				ATTORNEY DOCKET NUMBER LSP-0015	
17 The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1,040.00 International preliminary examination fee (37 CFR 1.482 not paid to USPTO but International Search Report has been prepared by the EPO or JPO\$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and				CALCULATIONS	PTO USE ONLY
all claims satisfied provisions of PCT Article 33(1)-(4)				2000 00	<u> </u>
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later that _ 20 _ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				.	
Claims	Number Filed	Number Extra	Rate		
Total claims	2- 20 =	0	X \$18.00	\$	
Independent Claims	2-3=	0	x \$84.00	\$	
Multiple dependent claims(s) (if applicable) + \$280.00				\$	
TOTAL OF ABOVE CALCULATIONS =				\$890.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$	
SUBTOTAL =				\$890.00	
Processing fee of \$130.00 for furnishing the English translation later the _ 20 _ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$	
TOTAL NATIONAL FEE =				\$890.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
TOTAL FEES ENCLOSED =				\$890.00	
				Amount to be: refunded	\$
				charged	\$
a. X A check in the amount of \$890.00 to cover the above fee is enclosed.					
b Please charge my Deposit Account No. 23-3050 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
	ner if hereby authorized to duplicate copy of this sh	o charge any additional fe eet is enclosed.	es which may be required	, or credit any overpayme	ent to Deposit Account
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: SIGNATURE				D. Hamull	1
Steven B. Samuels Woodcock Washburn LLP Steven B. S				amuels	
One Liberty Place - 46th Floor NAME				manufoly	
Philadelphia, PA 19103 (215) 568-3100 37,711					
REGISTRATION NUM				IRER	

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